2025 NHSN Ventilator-Associated Event (VAE) Checklist

Ventilator-Associated Event (VAE) Summary		
Criterion	Criterion Met	Date of Event (DOE)
VAC		
IVAC		
PVAP		
Please refer to <u>Chapter 10 Ventilator-Associated Event (VAE)</u> of the Patient Safety Manual for additional information.		

Documentation Review Checklist			
Ventilator Associated Event (VAE)			
Ventilator-Associated Condition (VAC)			
Element	Element Met	Date	
Patient has at least <u>one</u> of the following:			
Baseline period of stability* on the ventilator			
Baseline period of improvement* on the ventilator			
<u>AND</u> immediately following a period of stability or improvement (as above), patient has at least <u>one</u> of the following indicators of worsening oxygenation:			
1. Increase in daily minimum** FiO_2 of ≥ 0.20 (20 points) over daily minimum FiO_2 of the first day in the baseline period, sustained for ≥ 2 calendar days			
 Increase in daily minimum** PEEP values of ≥ 3 cm H₂O[†] over daily minimum PEEP of the first day in the baseline period, sustained for ≥ 2 calendar days 			
Note: *Stability or improvement is defined by ≥ 2 calendar days of stable or decreasing daily minimum FiO ₂ or PEEP values. The baseline period is defined as the 2 calendar days immediately preceding the first day of increased daily minimum FiO ₂ or PEEP. **Daily minimum defined by lowest value of FiO ₂ or PEEP during a calendar day that is maintained for > 1 hour. †Daily minimum PEEP values of 0-5 cm H ₂ O are considered equivalent for the purposes of VAE surveillance.			
Comments/Notes:			



Ventilator Associated Event (VAE)		
Infection-related Ventilator-Associated Complication (IVAC)		
Element	Element Met	Date
Patient must meet VAC to be eligible for IVAC		
On or after calendar day 3 of mechanical ventilation (MV) and within 2 calendar days before or af worsening oxygenation, the patient meets <u>both</u> 1 and 2:	ter the onse	t of
1) Patient has <u>one</u> of the following:		
• Temperature > 38°C (> 100.4°F)		
Temperature < 36°C (< 96.8°F)		
White blood cell count ≥ 12,000 cells/mm³		
White blood cell count ≤ 4,000 cells/mm³		
AND		
2) Patient meets <u>both</u> of the following:		
A new antimicrobial agent(s)* is started		
 The new antimicrobial agent(s)** is continued for ≥ 4 qualifying antimicrobial days (QAD) 		
Note: *The agent is considered new for the purposes of this definition if it was NOT given to the patient of preceding the current start date. **See table titled "List of Antimicrobial Agents Eligible for IVAC, PVAP"	on either of t	the 2 days
Comments/Notes:		



Ventilator Associated Event (VAE)		
Possible Ventilator-Associated Pneumonia (PVAP)		
Element	Element Met	Date
Patient must meet VAC and IVAC to be eligible for PVAP		
<u>AND</u> Patient must meet <u>one</u> of following criteria on or after calendar day 3 of MV and within 2 ca after the onset of worsening oxygenation (Refer to <u>VAE Protocol</u> for organisms excluded from me	eting PVAP):	
 <u>Criterion 1</u>: Positive culture of <u>one</u> of the following specimens, meeting quantitative or se thresholds as outlined in protocol, <u>without</u> requirement for purulent respiratory secretion 		ive [†]
 Endotracheal aspirate, ≥ 10⁵ CFU/ml or corresponding semi-quantitative result 		
 Bronchoalveolar lavage, ≥ 10⁴ CFU/ml or corresponding semi-quantitative result 		
 Lung tissue, ≥ 10⁴ CFU/g or corresponding semi-quantitative result 		
 Protected specimen brush, ≥ 10³ CFU/ml or corresponding semi-quantitative result 		
 Criterion 2: Purulent respiratory secretions (defined as secretions from the lungs, bronchi, or trachea that contain ≥ 25 neutrophils and ≤ 10 squamous epithelial cells per low power field [lpf, x100])[†] PLUS organism identified from one of the following specimens (to include qualitative culture, or quantitative/semi-quantitative culture without sufficient growth to meet Criterion 1): 		
Sputum		
Endotracheal aspirate		
Bronchoalveolar lavage		
Lung tissue		
Protected specimen brush		
3. <u>Criterion 3</u> : <u>One</u> of the following positive tests:	1	
 Organism identified from pleural fluid (where specimen was obtained during thoracentesis or within 24 hours of chest tube placement; pleural fluid specimens collected after a chest tube is repositioned or from a chest tube in place > 24 hours are not eligible for PVAP) 		
 Lung histopathology, defined as: 1) abscess formation or foci of consolidation with intense neutrophil accumulation in bronchioles and alveoli; 2) evidence of lung parenchyma invasion by fungi (hyphae, pseudohyphae or yeast forms); 3) evidence of infection with the viral pathogens listed below based on results of immunohistochemical assays, cytology, or microscopy performed on lung tissue 		
Diagnostic test for <i>Legionella</i> species		
 Diagnostic test on respiratory secretions for influenza virus, respiratory syncytial virus, adenovirus, parainfluenza virus, rhinovirus, human metapneumovirus, coronavirus 		
Note: † If the laboratory reports semi-quantitative results, those results must correspond to the quantitative and Table 2 and Table 3.	tive threshold	ls. Refer to
Comments/Notes:		



Table 2: Instructions for using the purulent respiratory secretions criterion, based on laboratory reporting of respiratory secretion direct examination results.

How do I use the purulent respiratory secretions criterion if	Instruction
My laboratory reports counts of "white blood cells" or "polymorphonuclear leukocytes" or "leukocytes" rather than counts of "neutrophils"?	Assume that counts of cells identified by these other descriptors (for example, "white blood cells") are equivalent to counts of neutrophils, unless the laboratory tells you this is not the case.
My laboratory reports semi-quantitative results (not quantitative results) for numbers of neutrophils and squamous epithelial cells?	Check with the laboratory to get information about what quantitative ranges the semi-quantitative reports correspond to.
My laboratory cannot provide additional information on how its semi-quantitative reporting corresponds to quantitative reporting ranges for neutrophils and squamous epithelial cells?	Use the following direct examination results to meet the purulent respiratory secretions criterion: many, heavy, numerous, $4+$, or ≥ 25 neutrophils per low power field (lpf) [x100], AND no, rare, occasional, few, $1+$ or $2+$, or ≤ 10 squamous epithelial cells per lpf [x100].
My laboratory reports <u>only</u> the numbers of neutrophils present, without reporting the number of squamous epithelial cells?	In this situation, the purulent secretions criterion may be met using the specified quantitative and semi-quantitative thresholds for neutrophils alone (specifically many, heavy, numerous, $4+$, or ≥ 25 neutrophils per lpf [x100]).
My laboratory uses different reporting thresholds for neutrophils and squamous epithelial cells (for example, maximum report of ≥ 20 neutrophils per low power field [x100], or minimum report of ≤ 15 squamous epithelial cells per low power field [x100])?	In this situation, the purulent secretions criterion may be met using the laboratory's specified maximum quantitative threshold for neutrophils, and/or minimum quantitative threshold for squamous epithelial cells.
My laboratory processes respiratory specimens such as bronchoalveolar lavage fluid using a centrifugation procedure (for example, "cytospin"), and there is no quantitation or semi-quantitation of neutrophils or white blood cells in the direct examination report?	In this situation, a report indicating the presence of white blood cells, without quantitation, is sufficient to meet the purulent secretions criterion.



REPORTING INSTRUCTIONS (additional guidance may be found in the FAQs in the VAE Protocol):

- 1. Conducting in-plan VAE surveillance means assessing patients for the presence of ALL events included in the algorithm—from VAC to IVAC to PVAP. At this time, a unit conducting in-plan VAE surveillance cannot decide, for example, that only surveillance for VAC (and not for IVAC or PVAP) will be performed.
- 2. There is a hierarchy of definitions within VAE:
 - o If a patient meets criteria for VAC and IVAC, report as IVAC.
 - o If a patient meets criteria for VAC, IVAC, and PVAP, report PVAP.
- 3. Do not upgrade an event using findings that occur outside the VAE Window Period.
- 4. If the date of event (date of onset of worsening oxygenation) is on or after the date of documentation of evidence of consent AND the patient is being supported for organ donation purposes, the event should not be reported as a VAE.
- 5. Pathogens are not reported for VAC or IVAC events.
- 6. Secondary BSIs are not reported for VAC or IVAC events (see **FAQ no. 8** in the <u>VAE Protocol</u>).
- 7. Pathogens <u>may</u> be reported for PVAP events, provided they are isolated or identified from appropriate specimen types according to the requirements of the algorithm and are NOT on the list of excluded organisms and culture or non-culture based microbiologic testing method results:
 - Excluded organisms and culture or non-culture based microbiologic testing method results that cannot be used to meet the PVAP definition are as follows:
 - "Normal respiratory flora," "normal oral flora," "mixed respiratory flora," "mixed oral flora," "altered oral flora" or other similar results indicating isolation of commensal flora of the oral cavity or upper respiratory tract. NOTE: A report of "flora" does not exclude the use of an eligible organism isolated or identified from the specimen. Only the "flora" is excluded from use.
 - Any Candida species or yeast not otherwise specified; any coagulase-negative Staphylococcus species; and any Enterococcus species, when identified from sputum, endotracheal aspirates, bronchoalveolar lavage, or protected specimen brushings specimens. These organisms can be reported as PVAP pathogens if identified from lung tissue or pleural fluid (where specimen was obtained during thoracentesis or within 24 hours of chest tube placement; pleural fluid specimens collected after a chest tube is repositioned or from a chest tube in place > 24 hours are not eligible for PVAP).
 - Additionally, because organisms belonging to the following genera are typically causes of communityassociated respiratory infections and are rarely or are not known to be causes of healthcare-associated infections, they are also excluded, and cannot be used to meet the PVAP definition when isolated from any eligible specimen type (to include lung tissue and pleural fluid): Blastomyces, Histoplasma, Coccidioides, Paracoccidioides, Cryptococcus, and Pneumocystis.
- 8. There are three criteria that can be used to meet the PVAP definition:
 - Criterion 1: Positive culture meeting specific quantitative or semi-quantitative threshold (Table 3);
 - Criterion 2: Purulent respiratory secretions AND identification of organisms NOT meeting the quantitative or semi-quantitative thresholds specified in <u>Table 3</u>;
 - Criterion 3: One of the following:
 - Organisms identified from pleural fluid specimen (where specimen was obtained during thoracentesis or within 24 hours of chest tube placement; pleural fluid specimens collected after a chest tube is repositioned or from a chest tube in place > 24 hours are not eligible for PVAP)
 - Positive lung histopathology
 - Lower respiratory specimen cytology findings suggestive of infection
 - Positive diagnostic test for *Legionella* species or selected respiratory viruses.
- 9. See <u>Table 3</u> for the required quantitative culture thresholds meeting the PVAP definition (Criterion 1). Note that if your laboratory reports semi-quantitative culture results, you should check with your laboratory to confirm that semi-quantitative results match the quantitative thresholds noted in Table 3 (see **FAQ no. 20** in the <u>VAE Protocol</u>).



Table 3: Threshold values for cultured specimens used in the PVAP definition

Specimen collection/technique	Values
Lung tissue	≥ 10 ⁴ CFU/g tissue*
Bronchoscopically (B) obtained specimens	
Bronchoalveolar lavage (B-BAL)	≥ 10 ⁴ CFU/mI*
Protected BAL (B-PBAL)	≥ 10 ⁴ CFU/mI*
Protected specimen brushing (B-PSB)	$\geq 10^3 \text{ CFU/ml*}$
Nonbronchoscopically (NB) obtained (blind) specimens	
NB-BAL	≥ 10 ⁴ CFU/mI*
NB-PSB	≥ 10 ³ CFU/mI*
Endotracheal aspirate (ETA)	≥ 10 ⁵ CFU/mI*

CFU = colony forming units, g = gram, ml = milliliter

- 10. Secondary BSIs <u>may</u> be reported for PVAP events, provided that at least one organism identified from the blood matches an organism isolated from an appropriate respiratory tract specimen (including respiratory secretions, pleural fluid, and lung tissue). The respiratory tract specimen must have been collected on or after the 3rd day of mechanical ventilation and within 2 calendar days before or after the day of onset of worsening oxygenation to be considered for use in meeting the PVAP definition. In addition, the organisms identified from blood must have been collected during the 14-day event period, where day 1 is the day of onset of worsening oxygenation (see **FAQ no. 10** in the VAE Protocol).
 - In cases where PVAP is met with only the histopathology criterion and no culture or non-culture based testing is performed on an eligible respiratory specimen, and there is also a positive blood specimen a secondary BSI is <u>not</u> reported.
 - In cases where a culture or non-culture based testing of respiratory secretions, pleural fluid or lung tissue is performed and does not identify an organism that matches an organism identified from blood, a secondary BSI is <u>not</u> reported.
 - o A matching organism is defined as one of the following:
 - 1. If genus and species are identified in both specimens, they must be the same.
 - a. <u>Example</u>: A blood specimen resulted with *Enterobacter cloacae* and a BAL specimen resulted with *Enterobacter cloacae* are matching organisms.
 - b. <u>Example</u>: A blood specimen resulted with *Enterobacter cloacae* and a BAL specimen resulted with *Enterobacter agglomerans* are NOT matching organisms as the species are different.
 - 2. If the organism is less definitively identified in one specimen than the other, the lesser identified organism must be identified to <u>at least the genus level</u> and at that level the organisms must be the same.
 - a. <u>Example</u>: A BAL resulted with *Pseudomonas spp*. and a blood specimen resulted with *Pseudomonas aeruginosa* are considered a match at the genus level and therefore the BSI can be reported as secondary BSI to VAE
 - <u>Exception</u>: In cases where an organism is identified only as "yeast" or "yeast not otherwise specified," the organism can be considered a match to other yeasts, when collected during the required timeframe, whether more fully identified or not.
 - b. Example: A blood specimen reported as Candida albicans and a lung tissue resulted with yeast not otherwise specified are considered to have matching organisms. In this example the two organisms are considered matching organisms because the organisms are complementary (specifically Candida is a type of yeast). NOTE: This exception is limited to yeast. It does not apply to identification of organisms as Gram positive cocci, Gram negative rods, etc.
 NOTE: Any Candida species or yeast not otherwise specified, any coagulase-negative Staphylococcus species, and any Enterococcus species identified from blood cannot be deemed secondary to a PVAP, unless the organism was also identified from pleural fluid or lung tissue.



^{*}Or corresponding semi-quantitative result (see FAQ no. 20 in the VAE Protocol)

List of Antimicrobial Agents Eligible for IVAC, PVAP

Antimicrobial Agent	Antimicrobial Agent (cont.)	Antimicrobial Agent (cont.)
AMIKACIN	CLARITHROMYCIN	NAFCILLIN
AMPHOTERICIN B	CLINDAMYCIN	NIRMATRELVIR (includes
		NIRMATRELVIR/RITONAVIR)
AMPHOTERICIN B LIPOSOMAL	COLISTIMETHATE	OMADACYCLINE
AMPICILLIN	DALBAVANCIN	ORITAVANCIN
AMPICILLIN/SULBACTAM	DELAFLOXACIN	OSELTAMIVAR
ANIDULAFUNGIN	DOXYCYCLINE	OXACILLIN
AZITHROMYCIN	ERAVACYCLINE	PENICILLIN G
AZTREONAM	ERTAPENEM	PERAMIVIR
BALOXAVIR MARBOXIL	FLUCONAZOLE	PIPERACILLIN/TAZOBACTAM
CASPOFUNGIN	FOSFOMYCIN	PLAZOMICIN
CEFAZOLIN	GENTAMICIN	POLYMYXIN B
CEFEPIME	IMIPENEM/CILASTATIN	POSACONAZOLE
CEFEPIME/ENMETAZOBACTAM	IMIPENEM/CILASTATIN/RELEBACTAM	REMDESIVIR
CEFIDEROCOL	ISAVUCONAZONIUM	REZAFUNGIN
CEFOTAXIME	ITRACONAZOLE	RIFAMPIN
CEFOTETAN	LEFAMULIN	SULBACTAM/DURLOBACTAM
CEFOXITIN	LEVOFLOXACIN	SULFAMETHOXAZOLE/TRIMETHOPRIM
CEFTAROLINE	LINEZOLID	TEDIZOLID
CEFTAZIDIME	MEROPENEM	TELAVANCIN
CEFTAZIDIME/AVIBACTAM	MEROPENEM/VABORBACTAM	TETRACYCLINE
CEFTOBIPROLE MEDOCARIL	METRONIDAZOLE	TIGECYCLINE
CEFTOLOZANE/TAZOBACTAM	MICAFUNGIN	TOBRAMYCIN
CEFTRIAXONE	MINOCYCLINE	VANCOMYCIN (IV ONLY)
CEFUROXIME	MOLNUPIRAVIR	VORICONAZOLE
CIPROFLOXACIN	MOXIFLOXACIN	ZANAMIVIR

