

## Instructions for Completion of the Person-Level COVID-19 Vaccination Form for the Healthcare Personnel Safety (HPS) Component

The optional Person-Level Vaccination Form for Healthcare Personnel (HCP) was developed to assist facilities with managing and tracking person-level vaccination data directly in NHSN. This allows the application to automatically calculate and submit the weekly summary totals to the main NHSN Weekly HCP COVID-19 Vaccination Module. Users update the person-level data with any changes to an individual’s vaccination status over time, click **‘View Reporting Summary and Submit’** to review the totals, and submit their weekly data to the Weekly HCP COVID-19 Vaccination Module. We recommend that all facilities that report COVID-19 Vaccination data in the HPS component use the Person-Level COVID-19 Vaccination Form to ensure accurate reporting of summary data when submitting data to the Weekly COVID-19 Vaccination Module. Learn more here: [Healthcare Personnel Safety Component \(HPS\) Person-Level COVID-19 Vaccination Form: A How-To Guide \(cdc.gov\)](#)

Please note if you plan to submit person-level data via .CSV file upload, please refer to the latest [Variable Description and File Layout — HPS \(cdc.gov\)](#) for additional guidance on formatting.

Data Fields	Instructions for Completion
Unique HCP Identifier	<p><b>Required.</b> Enter a unique identifier for the healthcare worker, assigned by your facility. This can be any combination of letters and numbers. This identifier is designated by your facility, not NHSN. You can directly enter the identifier, or you can click the Find button and select a healthcare worker from the list of healthcare workers who have previously had data submitted in NHSN via other Person-Level Forms (e.g., Point of Care Testing (POC) Tool).</p> <p>Ensure that you are using the same identifier used for entering the healthcare worker into other Person-level modules or pathways within the HPS Component, as applicable.</p> <p><b>Avoid:</b></p> <ul style="list-style-type: none"> <li>Using Date of Birth or room number as an identifier, as these can be shared by more than one individual and may result in duplicate IDs.</li> <li>Starting the identifier with a 0 (zero). If you import data from a CSV file, the CSV drops the leading 0, and this changes the ID.</li> </ul>
HCP First Name	<b>Required.</b> Enter the healthcare worker’s first name.
HCP Last Name	<b>Required.</b> Enter the healthcare worker’s last name.

Data Fields	Instructions for Completion
Gender	<p><b>Required.</b> Select the healthcare worker’s gender from the drop-down box:</p> <ul style="list-style-type: none"> <li>- Female</li> <li>- Male</li> <li>- Other</li> </ul> <p><b>Note:</b> If you cannot obtain this information, select ‘Other.’</p>
Date of Birth	<p><b>Required.</b> Enter the healthcare worker’s date of birth in the MM/DD/YYYY format.</p>
Ethnicity	<p><b>Required.</b> Select the healthcare worker’s ethnicity from the drop-down box:</p> <ul style="list-style-type: none"> <li>- Hispanic or Latino</li> <li>- Not Hispanic or Not Latino</li> <li>- Declined to respond</li> <li>- Unknown</li> </ul>
Race	<p><b>Required.</b> Select the healthcare worker’s racial group(s) from the drop-down box:</p> <ul style="list-style-type: none"> <li>- American Indian/Alaska Native</li> <li>- Asian</li> <li>- Black or African American</li> <li>- Native Hawaiian/Other Pacific Islander</li> <li>- White</li> <li>- Declined to respond</li> <li>- Unknown</li> </ul> <p><b>Note:</b> Multiple races can be selected from the drop-down box except when selecting ‘<b>Declined to Respond</b>’ or ‘<b>Unknown.</b>’</p>
HCP Start of Employment Date	<p><b>Required.</b> Enter the date the healthcare worker began working at the facility.</p>
HCP End of Employment Date	<p><b>Conditionally required.</b> Enter the date the healthcare worker last worked at the facility.</p> <p><b>Note:</b> If a healthcare worker leaves the facility for any reason for longer than 2 weeks (14 days) and then returns after more than 2 weeks, enter an end of employment date on the day they last worked at the facility. When they return to work in the facility, duplicate their row (using the <b>+ button</b> next to their row) and enter a new start of employment date on their new row. This new start of employment date must be at least 2 weeks after the original row’s end of the employment date.</p>



Data Fields	Instructions for Completion
Vaccination location type	<p><b>Required.</b> Select the location(s) within a facility where the healthcare worker regularly works in at least weekly from the drop-down box:</p> <ul style="list-style-type: none"> <li>- Hospital</li> <li>- IPF Unit(s)</li> <li>- IRF Unit(s)</li> </ul> <p><b>Note:</b> Multiple vaccination location types can be selected for a healthcare worker. The <b>IPF Unit</b> and <b>IRF Unit</b> options can only be selected and saved if there is an IPF or IRF unit with a unique CCN that is mapped as a location within the facility.</p>
HCP Category	<p><b>Required.</b> Select the appropriate HCP category for the healthcare worker from the drop-down box:</p> <ul style="list-style-type: none"> <li>- Employees (staff on facility payroll)</li> <li>- Licensed independent practitioners: (contracted physicians, advanced practice nurses, &amp; physician assistants)</li> <li>- Adult students/trainees &amp; volunteers</li> <li>- Other Contract Personnel</li> </ul> <p>Please refer to the <a href="#">Instructions for Completion of the Weekly Healthcare Personnel COVID-19 Vaccination Cumulative Summary</a> document for definitions of each HCP category.</p>
Dose 1 vaccination date	<p><b>Conditionally required.</b> A row must contain AT LEAST ONE status, as an individual can have more than one status entered since their vaccination status can change over time. At a minimum, a row must have data entered for at least one of the main categories:</p> <ul style="list-style-type: none"> <li>- Dose 1</li> <li>- Contraindication</li> <li>- Declination</li> <li>- Unknown/other vaccination status</li> </ul> <p>Enter the date the healthcare worker received dose 1 of COVID-19 vaccine.</p>
Dose 1 vaccine manufacturer name	<p><b>Conditionally required if Dose 1 vaccination date is entered.</b> Select the manufacturer of dose 1 of COVID-19 vaccine that the healthcare worker received from the drop-down box:</p> <ul style="list-style-type: none"> <li>- 2023-2024 Updated COVID-19 vaccine</li> <li>- Bivalent Pfizer vaccine</li> <li>- Bivalent Moderna vaccine</li> <li>- Pfizer-BioNTech COVID-19 vaccine</li> <li>- Moderna COVID-19 vaccine</li> <li>- Janssen COVID-19 vaccine</li> <li>- Novavax COVID-19 vaccine</li> <li>- Unspecified manufacturer</li> </ul>



Data Fields	Instructions for Completion
	<p><b>2023-2024 Updated COVID-19 vaccine</b> can only be selected if corresponding dose date is after 9/12/2023.</p> <p><b>Bivalent Pfizer vaccine</b> and <b>Bivalent Moderna vaccine</b> can only be selected if corresponding dose date is between 4/20/2023 and 9/12/2023.</p> <p><b>Pfizer-BioNTech COVID-19 vaccine</b> and <b>Moderna COVID-19 vaccine</b> can only be selected if corresponding dose date is on or before 4/19/2023.</p> <p><b>Janssen COVID-19 vaccine</b> can only be selected if corresponding dose date is before 6/26/2023.</p> <p><b>Novavax COVID-19 vaccine</b> can only be selected if corresponding dose date is on or after 6/1/2022.</p>
Dose 2 vaccination date	<p><i>Conditionally required if the healthcare worker received a second dose of COVID-19 vaccine.</i></p> <p>Enter the date the healthcare worker received dose 2 of COVID-19 vaccine.</p>
Dose 2 vaccine manufacturer name	<p><i>Conditionally required if Dose 2 vaccination date is entered.</i> Select the manufacturer of dose 2 of COVID-19 vaccine that the healthcare worker received from the drop-down box:</p> <ul style="list-style-type: none"> <li>- 2023-2024 Updated COVID-19 vaccine</li> <li>- Bivalent Pfizer vaccine</li> <li>- Bivalent Moderna vaccine</li> <li>- Pfizer-BioNTech COVID-19 vaccine</li> <li>- Moderna COVID-19 vaccine</li> <li>- Novavax COVID-19 vaccine</li> <li>- Unspecified manufacturer</li> </ul> <p><b>2023-2024 Updated COVID-19 vaccine</b> can only be selected if corresponding dose date is after 9/12/2023.</p> <p><b>Bivalent Pfizer vaccine</b> and <b>Bivalent Moderna vaccine</b> can only be selected if corresponding dose date is between 4/20/2023 and 9/12/2023.</p> <p><b>Pfizer-BioNTech COVID-19 vaccine</b> and <b>Moderna COVID-19 vaccine</b> can only be selected if corresponding dose date is on or before 4/19/2023.</p>



Data Fields	Instructions for Completion
	<p><b>Novavax COVID-19 vaccine</b> can only be selected if corresponding dose date is on or after 6/1/2022.</p>
<p>Medical contraindication date</p>	<p><i>Conditionally required. A row must contain AT LEAST ONE status, as an individual can have more than one status entered since their vaccination status can change over time. At a minimum, a row must have data entered for at least one of the main categories:</i></p> <ul style="list-style-type: none"> <li>- <i>Dose 1</i></li> <li>- <i>Contraindication</i></li> <li>- <i>Declination</i></li> <li>- <i>Unknown/other vaccination status</i></li> </ul> <p>Enter the date the medical contraindication was noted for the healthcare worker.</p> <p>Medical contraindications include history of a severe allergic reaction (e.g., anaphylaxis) after a previous dose or to a component of the COVID-19 vaccine, and history of a known diagnosed allergy to a component of the COVID-19 vaccine. Please see the most up-to-date list of contraindications here: <a href="https://www.cdc.gov/vaccines/covid-19/clinical-considerations/interim-considerations-us.html#contraindications">https://www.cdc.gov/vaccines/covid-19/clinical-considerations/interim-considerations-us.html#contraindications</a></p> <p>For the purpose of NHSN COVID-19 vaccination surveillance, philosophical, religious, or other reasons for declining COVID-19 vaccine not listed in the <i>Interim Clinical Considerations for Use of COVID-19 Vaccines Currently Approved or Authorized in the United States</i> as a contraindication are <b>not</b> considered medical contraindications for COVID-19 vaccination and should be reported in the <b>'Declination reason'</b> column instead.</p> <p><b>Note:</b> In the Person-Level Vaccination Forms, if a healthcare worker received a <b>monovalent</b> dose of COVID-19 vaccine and had a severe allergic reaction to this dose, and as a result cannot receive another COVID-19 vaccine dose, the individual will be classified in the weekly summary counts as a medical contraindication. If a healthcare worker had a medical contraindication after receiving an <b>2023-2024 Updated COVID-19 Vaccine</b>, they will be counted in the up to date category (i.e., not in the medical contraindication category). Users should enter both the dose 1 date and the medical contraindication date.</p>
<p>Declination date</p>	<p><i>Conditionally required. A row must contain AT LEAST ONE status, as an individual can have more than one status entered since their</i></p>



Data Fields	Instructions for Completion
	<p><i>vaccination status can change over time. At a minimum, a row must have data entered for at least one of the main categories:</i></p> <ul style="list-style-type: none"> <li>- <i>Dose 1</i></li> <li>- <i>Contraindication</i></li> <li>- <i>Declination</i></li> <li>- <i>Unknown/other vaccination status</i></li> </ul> <p>Enter the date the healthcare worker was offered but declined COVID-19 vaccination (i.e., not up to date vaccination status because healthcare worker <b>declined</b> the COVID-19 vaccine that would make them up to date).</p> <p>For the purpose of NHSN COVID-19 vaccination surveillance, philosophical, religious, or other reasons for declining COVID-19 vaccine should be reported as declined vaccination.</p>
Declination reason	<p><i>Conditionally required if Declination date is entered.</i></p> <p>Select the reason the healthcare worker declined COVID-19 vaccination from the drop-down box:</p> <ul style="list-style-type: none"> <li>- Received official religious exemption</li> <li>- Other</li> <li>- Unknown</li> </ul>
Unknown/other COVID-19 vaccination status Date	<p><i>Conditionally required. A row must contain AT LEAST ONE status, as an individual can have more than one status entered since their vaccination status can change over time. At a minimum, a row must have data entered for at least one of the main categories:</i></p> <ul style="list-style-type: none"> <li>- <i>Dose 1</i></li> <li>- <i>Contraindication</i></li> <li>- <i>Declination</i></li> <li>- <i>Unknown/other vaccination status</i></li> </ul> <p>Enter the date the healthcare worker’s vaccination status was recorded as unknown.</p> <p><b>Note:</b> This date can correspond to the healthcare worker’s start of employment date if the facility cannot determine the healthcare worker’s vaccination status at the time of employment, or if the facility does not have vaccination documentation for the healthcare worker.</p>
Dose 3 Date	<p><i>Conditionally required if the healthcare worker received a third dose of COVID-19 vaccine.</i></p> <p>Enter the date the healthcare worker received dose 3 of COVID-19 vaccine.</p>

Data Fields	Instructions for Completion
Dose 3 Manufacturer	<p><i>Conditionally required if Dose 3 vaccination date is entered.</i></p> <p>Select the manufacturer of dose 3 of COVID-19 vaccine that the healthcare worker received from the drop-down box:</p> <ul style="list-style-type: none"> <li>- 2023-2024 Updated COVID-19 vaccine</li> <li>- Bivalent Pfizer vaccine</li> <li>- Bivalent Moderna vaccine</li> <li>- Pfizer-BioNTech COVID-19 vaccine</li> <li>- Moderna COVID-19 vaccine</li> <li>- Janssen COVID-19 vaccine</li> <li>- Novavax COVID-19 vaccine</li> <li>- Unspecified manufacturer</li> </ul> <p><b>2023-2024 Updated COVID-19 vaccine</b> can only be selected if corresponding dose date is after 9/12/2023.</p> <p><b>Bivalent Pfizer vaccine</b> and <b>Bivalent Moderna vaccine</b> can only be selected if corresponding dose date is between 8/31/2022 and 9/12/2023.</p> <p><b>Pfizer-BioNTech COVID-19 vaccine, Moderna COVID-19 vaccine, and Janssen COVID-19 vaccine</b> can only be selected if corresponding dose date is before 9/26/2022.</p> <p><b>Novavax COVID-19 vaccine</b> can only be selected if corresponding dose date is on or after 6/1/2022.</p>
Dose 4 Date	<p><i>Conditionally required if the healthcare worker received a fourth dose of COVID-19 vaccine.</i></p> <p>Enter the date the healthcare worker received dose 4 of COVID-19 vaccine.</p>
Dose 4 Manufacturer	<p><i>Conditionally required if Dose 4 vaccination date is entered.</i></p> <p>Select the manufacturer of dose 4 of COVID-19 vaccine that the healthcare worker received from the drop-down box:</p> <ul style="list-style-type: none"> <li>- 2023-2024 Updated COVID-19 vaccine</li> <li>- Bivalent Pfizer vaccine</li> <li>- Bivalent Moderna vaccine</li> <li>- Pfizer-BioNTech COVID-19 vaccine</li> <li>- Moderna COVID-19 vaccine</li> <li>- Janssen COVID-19 vaccine</li> <li>- Novavax COVID-19 vaccine</li> </ul>



Data Fields	Instructions for Completion
	<ul style="list-style-type: none"> <li>- Unspecified manufacturer</li> </ul> <p><b>2023-2024 Updated COVID-19 vaccine</b> can only be selected if corresponding dose date is after 9/12/2023.</p> <p><b>Bivalent Pfizer vaccine</b> and <b>Bivalent Moderna vaccine</b> can only be selected if corresponding dose date is between 8/31/2022 and 9/12/2023.</p> <p><b>Pfizer-BioNTech COVID-19 vaccine, Moderna COVID-19 vaccine, and Janssen COVID-19 vaccine</b> can only be selected if corresponding dose date is before 9/26/2022.</p> <p><b>Novavax COVID-19 vaccine</b> can only be selected if corresponding dose date is on or after 6/1/2022.</p>
Dose 5 Date	<p><i>Conditionally required if the healthcare worker received a fifth dose of COVID-19 vaccine.</i></p> <p>Enter the date the healthcare worker received dose 5 of COVID-19 vaccine.</p>
Dose 5 Manufacturer	<p><i>Conditionally required if Dose 5 vaccination date is entered.</i></p> <p>Select the manufacturer of dose 5 of COVID-19 vaccine that the healthcare worker received from the drop-down box:</p> <ul style="list-style-type: none"> <li>- 2023-2024 Updated COVID-19 vaccine</li> <li>- Bivalent Pfizer vaccine</li> <li>- Bivalent Moderna vaccine</li> <li>- Pfizer-BioNTech COVID-19 vaccine</li> <li>- Moderna COVID-19 vaccine</li> <li>- Janssen COVID-19 vaccine</li> <li>- Novavax COVID-19 vaccine</li> <li>- Unspecified manufacturer</li> </ul> <p><b>2023-2024 Updated COVID-19 vaccine</b> can only be selected if corresponding dose date is after 9/12/2023.</p> <p><b>Bivalent Pfizer vaccine</b> and <b>Bivalent Moderna vaccine</b> can only be selected if corresponding dose date is between 8/31/2022 and 9/12/2023.</p> <p><b>Pfizer-BioNTech COVID-19 vaccine, Moderna COVID-19 vaccine, and Janssen COVID-19 vaccine</b> can only be selected if corresponding dose date is before 9/26/2022.</p>





Data Fields	Instructions for Completion
	<p><b>Novavax COVID-19 vaccine</b> can only be selected if corresponding dose date is on or after 6/1/2022.</p>
Dose 6 Date	<p><i>Conditionally required if the healthcare worker received a sixth dose of COVID-19 vaccine.</i></p> <p>Enter the date the healthcare worker received dose 6 of COVID-19 vaccine.</p>
Dose 6 Manufacturer	<p><i>Conditionally required if Dose 6 vaccination date is entered.</i></p> <p>Select the manufacturer of dose 6 of COVID-19 vaccine that the healthcare worker received from the drop-down box:</p> <ul style="list-style-type: none"> <li>- 2023-2024 Updated COVID-19 vaccine</li> <li>- Bivalent Pfizer vaccine</li> <li>- Bivalent Moderna vaccine</li> <li>- Pfizer-BioNTech COVID-19 vaccine</li> <li>- Moderna COVID-19 vaccine</li> <li>- Janssen COVID-19 vaccine</li> <li>- Novavax COVID-19 vaccine</li> <li>- Unspecified manufacturer</li> </ul> <p><b>2023-2024 Updated COVID-19 vaccine</b> can only be selected if corresponding dose date is after 9/12/2023.</p> <p><b>Bivalent Pfizer vaccine</b> and <b>Bivalent Moderna vaccine</b> can only be selected if corresponding dose date is between 8/31/2022 and 9/12/2023.</p> <p><b>Pfizer-BioNTech COVID-19 vaccine, Moderna COVID-19 vaccine, and Janssen COVID-19 vaccine</b> can only be selected if corresponding dose date is before 9/26/2022.</p> <p><b>Novavax COVID-19 vaccine</b> can only be selected if corresponding dose date is on or after 6/1/2022.</p>
Dose 7 Date	<p><i>Conditionally required if the healthcare worker received a seventh dose of COVID-19 vaccine.</i></p> <p>Enter the date the healthcare worker received dose 7 of COVID-19 vaccine.</p>
Dose 7 Manufacturer	<p><i>Conditionally required if Dose 7 vaccination date is entered.</i></p> <p>Select the manufacturer of dose 7 of COVID-19 vaccine that the healthcare worker received from the drop-down box:</p>



Data Fields	Instructions for Completion
	<ul style="list-style-type: none"> <li>- 2023-2024 Updated COVID-19 vaccine</li> <li>- Bivalent Pfizer vaccine</li> <li>- Bivalent Moderna vaccine</li> <li>- Pfizer-BioNTech COVID-19 vaccine</li> <li>- Moderna COVID-19 vaccine</li> <li>- Janssen COVID-19 vaccine</li> <li>- Novavax COVID-19 vaccine</li> <li>- Unspecified manufacturer</li> </ul> <p><b>2023-2024 Updated COVID-19 vaccine</b> can only be selected if corresponding dose date is after 9/12/2023.</p> <p><b>Bivalent Pfizer vaccine</b> and <b>Bivalent Moderna vaccine</b> can only be selected if corresponding dose date is between 8/31/2022 and 9/12/2023.</p> <p><b>Pfizer-BioNTech COVID-19 vaccine, Moderna COVID-19 vaccine, Janssen COVID-19 vaccine</b> can only be selected if corresponding dose date is before 9/26/2022.</p> <p><b>Novavax COVID-19 vaccine</b> can only be selected if corresponding dose date is on or after 6/1/2022.</p>
Dose 1 vaccine NDC number	<i>Optional.</i> Enter the NDC number for Dose 1 of the COVID-19 vaccine the healthcare worker received.
Dose 1 vaccine Lot number	<i>Optional.</i> Enter the Lot number for Dose 1 of the COVID-19 vaccine the healthcare worker received.
Dose 1 vaccine expiration date	<i>Optional.</i> Enter the expiration date for Dose 1 of the COVID-19 vaccine the healthcare worker received.
Dose 2 vaccine NDC number	<i>Optional.</i> Enter the NDC number for Dose 2 of the COVID-19 vaccine the healthcare worker received.
Dose 2 vaccine Lot number	<i>Optional.</i> Enter the Lot number for Dose 2 of the COVID-19 vaccine the healthcare worker received.
Dose 2 vaccine expiration date	<i>Optional.</i> Enter the expiration date for Dose 2 of the COVID-19 vaccine the healthcare worker received.
Dose 3 vaccine NDC number	<i>Optional.</i> Enter the NDC number for Dose 3 of the COVID-19 vaccine the healthcare worker received.
Dose 3 vaccine Lot number	<i>Optional.</i> Enter the Lot number for Dose 3 of the COVID-19 vaccine the healthcare worker received.
Dose 3 vaccine expiration date	<i>Optional.</i> Enter the expiration date for Dose 3 of the COVID-19 vaccine the healthcare worker received.



Person-Level COVID-19 Vaccination Form for the Healthcare Personnel Safety (HPS) Component

Data Fields	Instructions for Completion
Dose 4 vaccine NDC number	<i>Optional.</i> Enter the NDC number for Dose 4 of the COVID-19 vaccine the healthcare worker received.
Dose 4 vaccine Lot number	<i>Optional.</i> Enter the Lot number for Dose 4 of the COVID-19 vaccine the healthcare worker received.
Dose 4 vaccine expiration date	<i>Optional.</i> Enter the expiration date Dose 4 of the COVID-19 vaccine the healthcare worker received.
Dose 5 vaccine NDC number	<i>Optional.</i> Enter the NDC number for Dose 5 of the COVID-19 vaccine the healthcare worker received.
Dose 5 vaccine Lot number	<i>Optional.</i> Enter the Lot number for Dose 5 of the COVID-19 vaccine the healthcare worker received.
Dose 5 vaccine expiration date	<i>Optional.</i> Enter the expiration date for Dose 5 of the COVID-19 vaccine the healthcare worker received.
Dose 6 vaccine NDC number	<i>Optional.</i> Enter the NDC number for Dose 6 of the COVID-19 vaccine the healthcare worker received.
Dose 6 vaccine Lot number	<i>Optional.</i> Enter the Lot number for Dose 6 of the COVID-19 vaccine the healthcare worker received.
Dose 6 vaccine expiration date	<i>Optional.</i> Enter the expiration date for Dose 6 of the COVID-19 vaccine the healthcare worker received.
Dose 7 vaccine NDC number	<i>Optional.</i> Enter the NDC number for Dose 7 of the COVID-19 vaccine the healthcare worker received.
Dose 7 vaccine Lot number	<i>Optional.</i> Enter the Lot number for Dose 7 of the COVID-19 vaccine the healthcare worker received.
Dose 7 vaccine expiration date	<i>Optional.</i> Enter the expiration date for Dose 7 of the COVID-19 vaccine the healthcare worker received.
Vaccinated at another location?	<i>Optional.</i> Select Yes/No from the drop-down box to indicate if the healthcare worker received vaccination at a different location than the facility.
Vaccination Education Provided (date)?	<i>Optional.</i> Enter the date vaccination education was provided to the healthcare worker.
Comments	<i>Optional.</i> Enter any comments pertinent to the data entered in the healthcare worker's row.

